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		nvention: COSMETIC COM SILICONE	POSITION CONTAINING A POLYMER	AQUEOUS DISPERSION AND A
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11.	[ ]	An Information Disclos	sure Statement under 37 CFR 1.	97 and 1.98.
12.	[ ]	An assignment document	for recording. A separate co	ver sheet in compliance with
		37 CFR 3.28 and 3.31	is included.	
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INTERNATIONAL APPLICATION NO. PCT/FR98/01817 05725.053

The following fees are submitted: CALCULATIONS | Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO......\$840.00 \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))......\$690.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO......\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT \$840.00 Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Claims Number Filed Number Extra Rate 17-20= X \$18.00 |\$ Total Claims X \$78.00 Independent Claims | 1 - 3= +\$260.00 | \$260.00 Multiple dependent claim(s) (if applicable) \$1100.00 TOTAL OF ABOVE CALCULATIONS Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28) \$1100.00 SUBTOTAL Processing fee of \$130.00 for furnishing the English translation later [ ] 30 months from the earliest claimed priority date \$ [ ] 20 (37 CFR 1.492(f)). \$1100.00 TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21(h)). assignment must be accompanied by an appropriate cover sheet \$40.00 per property (37 CFR 3.28, 3.31). TOTAL FEES ENCLOSED \$1100.00 Amount to be ڪ refunded |\$ charged \$ ⊫a. A check in the amount of \$ 1100.00 to cover the above fees is enclosed. [X] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of [ ] b. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees c. [X] which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any other fees due under 37 C.F.R. §1.16 or §1.17 during the pendency of this application to our Deposit Account No. 06-0916. SEND ALL CORRESPONDENCE TO:

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PCT/FR98/01817

COSMETIC COMPOSITION COMPRISING AN AQUEOUS POLYMER
DISPERSION AND A SILICONE DISILANOL EMULSION AND
PROCESS

The invention relates to an aqueous or aqueous/alcoholic cosmetic composition comprising an aqueous dispersion of insoluble particles of film-forming polymer and a non-aminated silicone  $\alpha, \omega$ -disilanol emulsion. The invention also relates to a process for the cosmetic treatment of keratinous substances using these compositions.

For some years, very particular interest has been displayed in the preparation of essentially aqueous hair cosmetic compositions. This is because the use of alcohol, such as ethanol or isopropanol, alone or as a mixture with a small proportion of water, can exhibit certain disadvantages, in particular an increase in flammability when the composition is in the form of an aerosol lacquer.

More generally still, the aim is to reduce

the use of compounds which are volatile at atmospheric

pressure, known as VOC (Volatile Organic Compounds),

which are present in cosmetic compositions. VOC are

mainly propellants and certain solvents, such as

ethanol.

Attempts have been made, in order to decrease the amount of VOC, to replace solvents such as ethanol by water. However, while the majority of water-soluble

film-forming polymers can, in solution in water, result in the production of hair fixing compositions, these compositions exhibit major disadvantages. Thus, essentially aqueous compositions of these polymers do not make it possible to obtain high degrees of fixing. Provision has certainly been made to use these watersoluble polymers at high concentrations but the increase in concentration causes such an increase in the viscosity of the compositions that satisfactory spraying can only be obtained with great difficulty. 10 Even if correct spraying is obtained, these aqueous compositions exhibit a particularly lengthy drying time in comparison with alcohol compositions and are thus of little practical interest.

15 Provision has also been made to use aqueous dispersions of insoluble particles of polymers instead of polymers dissolved in aqueous, alcoholic or aqueous/alcoholic compositions.

However, to date, the results obtained are still unsatisfactory. This is because, while the fixing 20 power is sufficient and the drying time is acceptable, the cosmetic properties are still unsatisfactory. In particular, the disentangling, softness and feel properties are unsatisfactory. Furthermore, it is difficult to remove the polymer during washing of the

hair with a shampoo.

Attempts have already been made to improve the cosmetic properties of cosmetic compositions comprising a polymer dispersion without decreasing the fixing power by adding a non-functionalized silicone oil or polyoxyalkylenated silicone, but the Applicant Company has found that, in contrast to what was expected, the properties, such as disentangling, softness and feel, are not improved and are even, in some cases, debased.

The Applicant Company has now discovered that a cosmetic composition comprising, in a cosmetically acceptable medium, an aqueous dispersion of insoluble polymer particles and a non-aminated silicone  $\alpha, \omega$ -disilanol emulsion made it possible to overcome the disadvantages described above.

These compositions thus exhibit a good fixing power and good cosmetic properties, such as disentangling, softness and feel. It is easy to style or blow dry the hair after application.

The compositions according to the invention make it possible to obtain good spraying, the spray is even and the sprayed drops are fine. The compositions are distributed easily over the whole of the hair. Furthermore, surprisingly, the fixing power of the compositions is not decreased by the addition of a silicone. Finally, the drying times are low.

The subject-matter of the present invention is thus a cosmetic composition comprising, in a cosmetically acceptable medium, an aqueous dispersion of insoluble particles of polymer and a non-aminated silicone  $\alpha, \omega$ -disilanol emulsion.

The compositions according to the invention exhibit, in addition to the abovementioned advantages, good resistance to moisture, good removal on shampooing and on blow drying, and a good rate of drying.

However, other characteristics, aspects or advantages of the invention will become still more fully apparent on reading the detailed description which will follow and the concrete but in no way limiting examples intended to illustrate it.

15 The aqueous dispersions of insoluble

particles of non-ionic or ionic polymer which can be
used according to the invention are generally obtained
by suspension or emulsion polymerization or
copolymerization of monomers according to processes

20 well known in the state of the art (such dispersions
are also known under the name of "latex"). It is also
possible to obtain aqueous dispersions of polymers by
dissolving the said polymer in a water-miscible organic
solvent, water is then added and, finally, the organic
solvent is evaporated. This type of preparation is, for
example, disclosed in French Application No. 2,697,160.

The mean diameter of the insoluble particles of polymer is generally less than 500 nm and preferably less than 250 nm. The glass transition temperature of the polymer is generally between -30EC and 150EC and 5 preferably between 10 and 90EC.

The dispersions generally comprise at least 0.5% of surfactant making possible the dispersing and the maintenance in dispersion of the insoluble polymer. According to the invention, any type of surfactant can be used but preferably a non-ionic surfactant.

The polymer of the aqueous dispersion

comprises at least one monomer chosen, for example,

from styrene, butadiene, ethylene, tetrafluoroethylene,

propylene, vinyltoluene, vinyl propionate, vinyl

alcohol, acrylonitrile, chloroprene, vinyl chloride,

vinyl acetate, urethanes, isoprene, polyols,

diisocyanates, triisocyanates, isobutene, vinyl ethers,

vinylpyrrolidone, vinylimidazole, trimethylammonioethyl

(meth)acrylate, acrylic or methacrylic, maleic,

20 crotonic or itaconic acids, their esters or their

The non-ionic polymers in the aqueous dispersions which can be used according to the present invention are, for example, chosen from the following

25 compounds

amides, and their mixtures.

- vinyl acetate homopolymers, such as the product provided under the name of Appretan EM by the company

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Hoechst or the product provided under the name of Rhodopas A 012 by the company Rhône-Poulenc;

- copolymers of vinyl acetate and of acrylic ester, such as the product provided under the name of Rhodopas AD 310 from Rhône-Poulenc;
- copolymers of vinyl acetate and of ethylene, such as the product provided under the name of Appretan TV by the company Hoechst;
- copolymers of vinyl acetate and of maleic ester, for
   example of dibutyl maleate, such as the product provided under the name of Appretan MB Extra by the company Hoechst;
  - vinyl chloride homopolymers, such as the products provided under the names of Geon 460X45, Geon 460X46 and Geon 577 by the company Goodrich;
  - polyethylene waxes, such as the products provided under the names Aquacer 513 and Aquacer 533 by the company Byk Cera;
- polyethylene/polytetrafluoroethylene waxes, such as

  the products provided under the names Drewax D-3750 by
  the company Drew Ameroid and Wax Dispersion WD-1077 by
  the company R.T. Newey;
  - copolymers of polyethylene and of maleic anhydride;
  - homopolymers of alkyl acrylates and homopolymers of
- 25 alkyl methacrylates, such as the product provided under the name Micropearl RQ 750 by the company Matsumoto or

the product provided under the name Luhydran A 848 S by the company BASF;

- copolymers of acrylic esters, such as, for example, copolymers of alkyl acrylates and of alkyl
- 5 methacrylates, such as the products provided by the company Rohm & Haas under the names Primal ACZ 61 k and Eudragit NE 30 D, by the company BASF under the names Acronal 601 or Luhydraw LR 8833 or 8845, or by the company Hoechst under the names Appretan N 9213 or

#### 10 N 9212;

- copolymers of acrylonitrile and of a non-ionic monomer chosen, for example, from butadiene and alkyl (meth) acrylates; mention may be made of the products provided under the names Nipol LX 531 B by the company
- Nippon Zeon or those provided under the name CJ 0601 B by the company Rohm & Haas;
  - styrene homopolymers, such as the product
    Rhodopas 5051 provided by the company Rhône-Poulenc;
  - copolymers of styrene and of alkyl (meth)acrylate,
- such as the products Mowilith LDM 6911, Mowilith DM 611 and Mowilith LDM 6070 provided by the company Hoechst, the products Rhodopas SD 215 and Rhodopas DS 910 provided by the company Rhône-Poulenc or the product Uramul SC 70 provided by the company DSM;
- 25 copolymers of styrene, of alkyl methacrylate and of alkyl acrylate, such as the product Daitisol SPA provided by the company Wackherr;

- copolymers of styrene and of butadiene, such as the products Rhodopas SB 153 and Rhodopas SB 012 provided by the company Rhône-Poulenc;
- copolymers of styrene, of butadiene and of vinyl-
- 5 pyridine, such as the products Goodrite SB
  Vinylpyridine 2528X10 and Goodrite SB Vinylpyridine
  2508 provided by the company Goodrich;
  - copolymers of styrene and of vinylpyrrolidone, such as the products Antara 450 and Cloud 285 provided by
- 10 the company ISP;
  - polyurethanes, such as the products provided under the names Acrysol RM 1020 or Acrysol RM 2020 by the company Rohm & Haas or the products [lacuna]
    Uraflex XP 401 UZ or Uraflex XP 402 UZ by the company
- 15 DSM Resins;
  - copolymers of alkyl acrylate and of urethane, such as the product 8538-33 [lacuna] by the company National Starch;
- polyamides, such as the product Estapor LO 11 20 provided by the company Rhône-Poulenc.

The dispersions of insoluble particles of cationic polymer comprise, for example, the following polymers:

- copolymers of acrylamide and of trimethylammonioethyl (meth)acrylate;
  - copolymers of alkyl methacrylate, of alkyl acrylate and of trimethylammonioethyl (meth)acrylate, such as

the product Eudragit RL 30 D provided by the company Rohm Pharma.

The aqueous dispersions of insoluble particles of polymer which are particularly preferred in the context of the invention are aqueous dispersions of insoluble particles of anionic polymers.

According to the invention, it is possible, for example, to use an aqueous dispersion comprising a copolymer formed of an alkyl acrylate, of alkyl methacrylate and of one or more ethylenic carboxylic acids having from 3 to 5 carbon atoms, the alkyl radicals having from 1 to 5 carbon atoms.

The alkyl acrylate is preferably chosen from methyl acrylate, ethyl acrylate, propyl acrylate and butyl acrylate. Ethyl acrylate is particularly preferred.

The alkyl acrylate concentration is preferably between 40 and 70% by weight and more particularly between 50 and 60% by weight with respect to the total weight of the copolymer.

The alkyl methacrylate is preferably chosen from methyl methacrylate, ethyl methacrylate, propyl methacrylate and butyl methacrylate. Methyl methacrylate is particularly preferred.

25 The alkyl methacrylate concentration is preferably between 30 and 50% by weight and more particularly

between 30 and 40% by weight with respect to the total weight of the copolymer.

The preferred ethylenic carboxylic acids are acrylic acid, methacrylic acid, crotonic acid, itaconic acid or their mixtures. Acrylic acid and methacrylic acid are particularly preferred. According to the invention, it is possible to employ salts of these carboxylic acids.

The concentration of ethylenic carboxylic

10 acids or of their salts is preferably between 5 and 15%

by weight and more particularly between 8 and 12% by

weight with respect to the total weight of the

copolymer.

In a particularly preferred embodiment of the invention, acrylic acid is used with methacrylic acid, each in a concentration of between 2 and 10% by weight, the total of these two acids not exceeding 15% by weight of the total weight of the copolymer.

The copolymer can also comprise small

20 amounts, that is to say less than 10%, preferably less
than 5% and more particularly less than 2%, of a
polymerizable monomer other than those mentioned above.

According to a particularly preferred

embodiment of the invention, use is made of a copolymer

comprising from 50 to 60% by weight of ethyl acrylate,

from 30 to 40% by weight of methyl methacrylate, from 2

to 10% by weight of acrylic acid and from 2 to 10% by

weight of methacrylic acid, the total concentration of acrylic and methacrylic acid not exceeding 15% by weight with respect to the total weight of the acrylic copolymer.

Such a copolymer is, for example, disclosed in Patent Application EP-A 590,604, which is here included here by way of reference.

An aqueous dispersion of the acrylic copolymer described above comprising 25% by weight of an ethyl acrylate/methyl methacrylate/methacrylic acid/acrylic acid copolymer is sold in particular under the tradename Amerhold DR-25 by the company Amerchol.

According to the invention, it is also possible to use an aqueous dispersion of hydroxyethyl methacrylate/methyl methacrylate/methacrylic acid/butyl acrylate copolymers, such as, for example, the product sold by the company Seppic under the name Acudyne 255.

According to the invention, it is also possible to use an aqueous dispersion of ethyl

20 acrylate/methacrylic acid/t-butyl acrylate copolymers, such as, for example, the product sold by the company BASF under the name Luvimer Low Voc.

According to the invention, it is also possible to use an aqueous dispersion of methyl

25 methacrylate/acrylic acid/butyl acrylate copolymers, such as, for example, the product sold by the company National Starch under the name Balance 055.

20

The concentration by weight of the particles of insoluble polymer in the compositions according to the invention is preferably between 1 and 35% with respect to the total weight of the composition,

5 preferably between 5 and 20% by weight.

The emulsions comprising non-aminated silicone  $\alpha, \omega$ -disilanols which can be used in the context of the present invention can be chosen from all those already known per se.

10 According to the invention, non-aminated silicone  $\alpha, \omega$ -disilanol denotes any silicone not comprising at least one primary, secondary or tertiary amine or one quaternary ammonium group.

The mean size of the silicone particles in

the emulsion is preferably between 1 and 10 microns and
more particularly between 10 nm and 1 micron.

The emulsions which can be used according to the invention can be microemulsions, that is to say thermodynamically stable emulsions.

The emulsions are generally aqueous and comprise, in addition to water and the non-aminated silicone  $\alpha, \omega$ -disilanol, one or more surfactants. These surfactants can be of any type and more particularly of non-ionic or cationic type.

Thus, according to the present invention, it is possible to use any silicone  $\alpha, \omega$ -disilanol known per se, whether it is a silicone oil, a silicone resin

or even a silicone gum. Silicones are organosilicon polymers or oligomers with a branched or crosslinked, linear or cyclic structure, of variable molecular weight, obtained by polymerization and/or

- polycondensation of suitably functionalized silanes and essentially composed of a repetition of main units in which the silicon atoms are connected to one another via oxygen atoms (siloxane bond), optionally substituted hydrocarbon radicals being directly bonded
- via a carbon atom to the said silicon atoms. The commonest hydrocarbon radicals are alkyl radicals and in particular the methyl radical, fluoroalkyl radicals, aryl radicals and in particular the phenyl radical, and alkenyl radicals and in particular the vinyl radical;
- other types of radicals capable of being bonded, either directly or via a hydrocarbon radical, to the siloxane chain are in particular hydrogen, halogens and in particular chlorine, bromine or fluorine, thiols, alkoxy radicals, polyoxyalkylene (or polyether)
- radicals and in particular the polyoxyethylene and/or polyoxypropylene radical, hydroxyl or hydroxyalkyl radicals, acyloxy or acyloxyalkyl radicals, or anionic groups, such as carboxylates, thioglycolates, sulphosuccinates, thiosulphates, phosphates and
- 25 sulphates, this list, of course, being in no way
  limiting (so-called "organomodified" silicones). The
  number-average molecular weight of the silicones which

can be used according to the invention can vary between 100 and several million, preferably between 1000 and 1,000,000. According to the present invention, it is possible, of course, either to use one and the same silicone or to employ several different silicones.

These silicones can be crosslinked.

Mention may in particular be made, as examples of silicones which can be used in the compositions according to the invention, of polydialkylsiloxane  $\alpha, \omega$ -disilanols, polyalkylarylsiloxane  $\alpha, \omega$ -disilanols and polydiaryldialkylsiloxane  $\alpha, \omega$ -disilanols.

The alkyl groups preferably have from 1 to 4 carbon atoms and the aryl groups are preferably phenyl groups.

According to a particularly preferred embodiment of the present invention, the silicones used are chosen from polydimethylsiloxane  $\alpha, \omega$ -disilanols.

Such products are, for example, the non-ionic aqueous emulsion containing polydimethylsiloxane  $\alpha, \omega\text{-disilanols sold under the name Siltech E-2170 by}$  the company Siltech.

The silicone or silicones are present in the compositions in accordance with the invention in proportions generally of between 0.05 to 10% by weight, preferably from 0.1 to 3% by weight, with respect to the total weight of the composition.

The cosmetically acceptable continuous medium acting as vehicle in the compositions according to the invention is aqueous or aqueous/alcoholic and preferably composed of water or a mixture of water and of cosmetically acceptable solvents, such asmonoalcohols, polyalcohols and glycol ethers, which can be used alone or as a mixture. More preferably still, the said vehicle is essentially composed of water.

The pH of the compositions according to the invention is generally between 2 and 9 and in particular between 3 and 8. It can be adjusted to the desired value by means of basifying or acidifying agents commonly used in cosmetics for this type of application.

15 When the composition according to the invention is pressurized in aerosol form, the aerosol comprises the composition described above, known as a dispensable, and at least one propellant, which can be chosen from volatile hydrocarbons, such as n-butane,

- 20 propane, isobutane, pentane, chlorinated and/or fluorinated hydrocarbons and their mixtures. It is also possible to use, as propellant, carbon dioxide gas, nitrous oxide, dimethyl ether, nitrogen, compressed air and their mixtures.
- In such a system, the concentration of propellant(s) is generally between 5 and 90% and preferably between 10 and 50% by weight with respect to

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25

the total weight of the pressurized composition and more particularly between 15 and 35% by weight.

According to this preferred embodiment of the invention, the concentration of polymer particles is at least 3% by weight with respect to the weight of the pressurized composition (dispensable + propellant) and more preferably still between 5 and 35% by weight.

The compositions according to the invention (in the pressurized or non-pressurized state) can also 10 comprise surface-active agents, preservatives, sequestering agents, softeners, fragrances, colorants, viscosity-modifying agents, foam-modifying agents, antifoaming agents, pearlescent agents, moisturizing agents, antidandruff agents, antiseborrhoeic agents, 15 sunscreens, ceramides, proteins, vitamins, plasticizers, hydroxy acids, electrolytes, natural or synthetic oils and waxes, fatty alcohols, esters of polyhydric alcohols, mono-, di- or triglycerides, water-soluble polymers or mixtures of these various compounds.

Of course, a person skilled in the art will take care to choose the optional compound or compounds to be added to the composition according to the invention so that the advantageous properties intrinsically attached to the composition in accordance with the invention are not, or not substantially, detrimentally affected by the envisaged addition.

The compositions according to the invention are, for example, rinse-out or leave-in hair compositions and preferably leave-in hair compositions.

They are more particularly hair setting

5 lotions, blow-drying lotions, fixing compositions
(lacquers) and styling compositions. The lotions are
packaged in various forms, in particular in vaporizers,
pump-action sprays or in aerosol containers, in order
to provide for application of the composition in
10 vaporized form.

A further subject-matter of the invention is a process for the cosmetic treatment of keratinous substances, such as hair, characterized in that it consists in applying, to the keratinous substances, in particular by spraying or vaporization, a cosmetic composition as defined above and in then optionally rinsing with water, optionally after leaving for a period of time.

### EXAMPLE 1

A composition A according to the invention was prepared and was compared with three compositions B, C and D not in accordance with the invention. The four compositions are packaged in pump-action sprays.

A panel of testers evaluated the

25 disentangling, the softness and the feel of the hair after spraying 1 g of each of these compositions onto locks of natural hair weighing 5 g.

The grading ranges from 0 (very bad) to 5 (excellent).

The results are collated in the table below (AM means active material):

In g AM		В	С	D
	(Inven-	(compara-	(compara-	(compara-
	tion)	tive)	tive)	tive)
Amerhold DR	10	10	10	10
Siltech E-2170 <sup>(2)</sup>	1	_	_	-
Q2-5220 <sup>(3)</sup>	_	1	-	-
L7230 <sup>(4)</sup>		-	1	-
Ethyl phthalate	1.5	1.5	1.5	1.5
Water, q.s. for	100	100	100	100
Disentangling	3	2	0	3
Softness	3	1.5	1	2
Feel	3	1.5	1	2

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(1) Amerhold DR 25 from Amerchol: Ethyl acrylate/methyl methacrylate/methacrylic acid/acrylic acid copolymer as an aqueous dispersion comprising 25% by weight of the copolymer.

- (2) Siltech E-2170 from Siltech: Non-ionic aqueous emulsion comprising 60% by weight of polydimethylsiloxane  $\alpha, \omega$ -disilanols (dimethiconol).
- (3) Q2-5220 from Dow Corning: Polyoxyethylenated and 5 polyoxypropylenated polydimethylsiloxane (dimethicone copolyol).
  - (4) L7270 from OSI: PolyoxyethyTenated and polyoxypropylenated polydimethylsiloxane (dimethicone copolyol).
- The aqueous emulsion containing polydimethylsiloxane  $\alpha, \omega$ -disilanols (A) makes it possible to improve the softness and the feel of the hair, whereas the dimethicone copolyol (B and C) causes a decline in these two properties.

#### 15 EXAMPLE 2

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Two compositions A and B according to the invention were prepared and were compared with two compositions C and D not in accordance with the invention. The four compositions are packaged in pumpaction sprays.

A panel of testers evaluated the disentangling, the softness and the feel of the hair after spraying 1 g of each of these compositions on to locks of natural hair weighing 5 g.

The results are collated in the table below:

	A	В	C	D
In g AM	(Inven-	(Inven-	(compara-	(compara-
	tion)	tion)	tive)	tive)
Acudyne 255 <sup>(1)</sup>	10	10	10	10
Siltech E-2170 <sup>(2)</sup>	1	-	-	-
Q2-5220 <sup>(3)</sup>	-	-	1	-
TP511 A <sup>(4)</sup>	<u>-</u>	1	_	-
Ethyl phthalate	3	3	3	3
Water, q.s. for	100	100	100	100
Disentangling	4	4.5	2.5	4
Softness	3	3.5	2	2.5
Feel	3	3	2	2.5

- (1) Acudyne 255 from Seppic: Hydroxyethyl methacrylate/methyl methacrylate/methacrylic acid/butyl acrylate copolymer as an aqueous dispersion comprising 5 approximately 40% by weight of the copolymer.
  - (2) Siltech E-2170 from Siltech: Non-ionic aqueous emulsion containing 60% by weight of polydimethylsiloxane  $\alpha, \omega$ -disilanols (dimethiconol).
- (3) Q2-5220 from Dow Corning: Polyoxyethylenated and 10 polyoxypropylenated polydimethylsiloxane (dimethicone copolyol).

(4) TP511 A from OSI: Anionic aqueous emulsion containing 35% by weight of crosslinked polydimethylsiloxane  $\alpha, \omega$ -disilanols (dimethiconol).

The aqueous emulsions containing

polydimethylsiloxane  $\alpha, \omega$ -disilanols (A and B) make it possible to improve the disentangling, the softness and the feel of the hair, whereas the dimethicone copolyol (B) causes a decline in these three properties.

# EXAMPLE 3

A composition A according to the invention was prepared and was compared with two compositions B and C not in accordance with the invention. The three compositions were pressurized as aerosols.

A panel of testers evaluated the

15 disentangling, the softness and the feel of the hair
after spraying 2 g of each composition onto locks of
natural hair weighing 5 g.

The results are collated in the table below (AM means active material):

In g AM	A (Invention)	B (comparative)	C (comparative)
Amerhold DR	15.4	15.4	15.4
Siltech E-2170 <sup>(2)</sup>	1.5		-
Q2-5220 <sup>(3)</sup>	-	1.5	
Ethyl phthalate	2.3	2.3	2.3
Water, q.s. for	100	100	100
Disentangling	2	. 1	2
Softness	2.5	1.5	2
Feel	2.5	1.5	2

- (1) Amerhold DR 25 from Amerchol: Ethyl acrylate/methyl methacrylate/methacrylic acid/acrylic acid copolymer as an aqueous dispersion comprising 25% by weight of the copolymer.
  - (2) Siltech E-2170 from Siltech: Non-ionic aqueous emulsion containing 60% by weight of polydimethylsiloxane  $\alpha, \omega$ -disilanols (dimethiconol).
- 10 (3) Q2-5220 from Dow Corning: Polyoxyethylenated and polyoxypropylenated polydimethylsiloxane (dimethicone copolyol).

The pressurization scheme was the following:

- Dimethyl ether (propellant)

35 g

- Above composition (dispensable)

55 q

The aqueous emulsion containing polydimethylsiloxane  $\alpha, \omega$ -disilanols makes it possible to improve the softness and the feel of the hair, whereas the dimethicone copolyol causes a decline in these two properties.

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# CLAIMS

1. Cosmetic composition, characterized in that it comprises, in a cosmetically acceptable medium, an aqueous dispersion of insoluble particles of polymer and a non-aminated silicone  $\alpha, \omega$ -disilanols emulsion.

Composition according to Claim 1,

- characterized in that the said aqueous dispersion results from the polymerization or from the copolymerization of monomers chosen from styrene,

  10 butadiene, ethylene, propylene, vinyltoluene, vinyl propionate, vinyl alcohol, acrylonitrile, chloroprene, vinyl acetate, urethanes, isoprene, isobutene, vinyl ether, vinylpyrrolidone, vinylimidazole and acrylic or methacrylic, maleic, crotonic or itaconic acids, their esters or their amides.
- 3. Composition according to either one of Claims 1 and 2, characterized in that the polymer of the aqueous dispersion is chosen from copolymers of alkyl acrylate, of alkyl methacrylate and of one or more ethylenic carboxylic acids or their salts having from 3 to 5 carbon atoms, the alkyl radicals having from 1 to 5 carbon atoms.
  - 4. Composition according to any one of the preceding claims, characterized in that the polymer is chosen from ethyl acrylate/methyl methacrylate/methacrylic acid/acrylic acid copolymers.

- 5. Composition according to any one of Claims 1 to 3, characterized in that the polymer of the aqueous dispersion is chosen from hydroxyethyl methacrylate/methyl methacrylate/methacrylic acid/butyl acrylate copolymers, ethyl acrylate/methacrylic acid/t-butyl acrylate copolymers and methyl methacrylate/acrylic acid/butyl acrylate copolymers.
- 6. Composition according to any one of the preceding claims, characterized in that the

  10 concentration by weight of the polymer particles is between 1 and 35% with respect to the total weight of the composition.
- 7. Composition according to any one of the preceding claims, characterized in that the non- aminated silicone  $\alpha, \omega$ -disilanol(s) are present in the proportion of 0.05 to 10% by weight with respect to the total weight of the composition.
- 8. Composition according to Claim 7, characterized in that the silicone or silicones are
  20 present in the proportion of 0.1 to 3% by weight with respect to the total weight of the composition.
  - 9. Composition according to any one of the preceding claims, characterized in that the silicone or silicones are chosen from polydialkylsiloxane
- $\alpha, \omega$ -disilanols, polyalkylarylsiloxane  $\alpha, \omega$ -disilanols and polydiaryldialkylsiloxane  $\alpha, \omega$ -disilanols.

- 10. Composition according to Claim 9, characterized in that the silicone or silicones are chosen from polydimethylsiloxane  $\alpha, \omega$ -disilanols.
- 11. Composition according to any one of the preceding claims, characterized in that the compositions are hairsetting lotions or blow-drying lotions.
- 12. Composition pressurized in an aerosol, characterized in that it comprises a composition as defined in any one of Claims 1 to 10 and at least one propellant.
- 13. Composition according to Claim 12, characterized in that the propellant is chosen from volatile hydrocarbons, such as n-butane, propane, isobutane, pentane or chlorinated and/or fluorinated hydrocarbons, carbon dioxide gas, nitrous oxide, dimethyl ether, nitrogen, compressed air and their mixtures.
- 14. Composition according to either one of
  20 Claims 12 and 13, characterized in that the
  concentration by weight of the polymer particles is at
  least 3% by weight and preferably between 5 and 35%
  with respect to the total weight of the pressurized
  composition.
- 25 15. Composition according to any one of Claims 12 to 14, characterized in that the propellant is present in concentrations of between 5 and 90% by

weight with respect to the total weight of the pressurized composition.

- 16. Composition according to Claim 15, characterized in that the propellant is present in concentrations of between 10 and 50% by weight with respect to the total weight of the pressurized composition.
- 17. Process for the cosmetic treatment of keratinous substances, such as hair, characterized in that it consists in applying, to the keratinous substances, a cosmetic composition as defined in any one of Claims 1 to 16 and in then optionally rinsing with water, optionally after leaving for a period of time.

Approved for use through 9/30/98. OMB 0651-0032

Patent and Patent Attorney Docket No.: 05725.0532

# **Declaration and Power of Attorney for Patent Application** Déclaration et Pouvoir pour Demand de Brevet

# French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:	As a below named inventor, I hereby declare that:
Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.	My residence, post office address and citizenship are as stated next to my name.
Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
	COSMETIC COMPOSITION CONTAINING A POLYMER AQUEOUS DISPERSION AND A DISILANOL SILICONE EMULSION AND METHOD
et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:	the specification of which is attached hereto unless the following box is checked:
a été déposée le sous le numéro de demande des Etats-Unis ou le numéro de demande international PCT et modifiée (les cas échéant).	was filed on <u>August 18, 1998</u> as United States Application Number or PCT International Application Number <u>PCT/FR98/01817</u> and was amended on (if applicable).
Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifées par toute modification dont il aura été fait référence ci-dessus.	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above
Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

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Attorney Docket No.: 05725.0532

# French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International Application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

•	ci-dessous toute dema d'inventeur ou toute den	ous et, en cochant la case, j'ai aussi indiqué inde étrangère de brevet, tout certificat nande internationale PCT ayant une date de la demande à propos de laquelle une priorité	inventor's certificate, or PCT In	foreign application for patent or ternational application having a pplication on which priority is
	Prior foreign application Demande(s) de brevet a	n(s) ntérieure(s)	]	Priority Not Claimed Droit de priorité non revendiqué
	97/10,482 (Number) (Numéro)	France (Country) (Pays)	19 August 1997 (Day/Month/Year Filed) (Jour/Mois/Anné de dépot)	
	(Number) (Numéro)	(Country) (Pays)	(Day/Month/Year Filed) (Jour/Mois/Anné de dépot)	J
. H	§ 119(e) du Code des	sent acte tout bénéfice, en vertu du Titre 35, Etats-Unis, de toute demande de brevet Etats-Unis et figurant ci-dessous.	I hereby claim the benefit unde § 119(e) of any United States pelow.	er Title 35, United States Code, provisional application(s) listed
H. H. H.	(Application No.) (Nº de demande)	(Filing Date) (Date de dépot)		
" H" " 18 18".	(Application No.) (N <sup>0</sup> de demande)	(Filing Date) (Date de dépot)		
III. II. II. II. II. II. II. II. II. II	§ 120 du Code des Etats aux Etats-Unis, ou en ve toute demande internatio ci-dessous et, dans la revendications de cette de demande antérieure amé dispositions du premier Etats-Unis, je reconnais de ta la brevetabilité, comn fédéral des réglementat entre la date de dépôt de	sent acte tout bénéfice, en vertu du Titre 35, i-Unis, de toute demande de brevet effectuée ertu du Titre 35, § 365(c) du même Code, de male PCT désignant les Etats-Unis et figurant la mesure où l'objet de chacune des demande de brevet n'est pas divulgué dans la éricaine ou internationale PCT, en vertu des paragraphe du Titre 35, § 112 du Code des devoir divulguer toute information pertinente ne défini dans le Titre 37, § 1.56 du Code ions, dont laquelle est devenue disponible la demande antérieure, et la date de dépôt de internationale PCT de la présente demande:	International Application designed below and, insofar as the subject this application is not disclosed in International Application in the paragraph of Title 35, United Stathe duty to disclose any or all inpatentability as defined in Title 3 § 1.56 which became available by	er Title 35, United States Code, cation(s), or § 365(c) of any PCT nating the United States, listed at matter of each of the claims of in the prior United States or PCT e manner provided by the first ates Code, § 112, I acknowledge information which is material to 37, Code of Federal Regulations, etween the filing date of the prior PCT International filing date of
	(Application No.) (Nº de demande)	(Filing Date) (Date de dépot)	(Status) (patented, pending, aba (Status) (breveté, en cours d'exa	
	(Application No.) (No de demande)	(Filing Date) (Date de dépot)	(Status) (patented, pending, aba (Status) (breveté, en cours d'exa	ndoned) amen, abandonné)
	Je déclare par le présent	acte que toute déclaration ci-incluse est, à ma	I hereby declare that all stater	ments made herein of my own

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Attorney Docket No.: 05725.0532

# French Language Declaration

POUVOIRS: En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec L'Office des brevets et des marques: (mentionner le nom et le numéro d'enregistrement).

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this patent application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number):

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PTO/SB/105 (8-96)

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Attorney Docket No.: 05725,0532

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